

# Regulation of Investment Advisers by the U.S. Securities and Exchange Commission

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The *Regulation of Investment Advisers* is the definitive outline summarizing SEC regulatory issues for Investment Advisers, authored by partner Robert Plaze. Before joining Proskauer, Bob served as Deputy Director of the Division of Investment Management at the SEC.

The publication is regularly updated and covers extensive ground in key areas for fund managers. It synthesizes regulation of advisers by the SEC, identifies legal and regulatory precedents, and provides hyperlinks to the underlying authorities.

## Related Professionals

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- **Robert H. Sutton**  
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